

ASSISTANT COMMISSIONER FOR PATENTS:
Washington, DC 20231

In re application of: Anand R. Baichwal, et al.
Serial No.: 09/676,376
Filed: September 29, 2000
For: **SUSTAINED RELEASE MATRIX SYSTEMS FOR HIGHLY SOLUBLE DRUGS**



SECTOR 9
Docket No.: 540.1040
Date: January 15, 2001

Sir:

Transmitted herewith is a **Response to Notice to File Missing Parts** in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ No fee for additional claims is required.
- ☒ A filing fee for additional claims calculated as shown below, is required:

		(Col. 1)	(Col. 2)			SMALL ENTITY			LARGE ENTITY
FOR:	REMAINING	HIGHEST		RATE	FEE	OR	RATE	FEE	
	AFTER	PREVIOUSLY	PRESENT						
	AMENDMENT	PAID FOR	EXTRA						
TOTAL CLAIMS	64	Minus 20	=	44		x \$ 9	\$	x \$ 18	\$792.00
INDEP. CLAIMS	3	Minus 3	=	0		x \$ 39	\$	x \$ 78	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+ \$130	\$	+ \$260	\$

TOTAL: \$ OR TOTAL: \$792.00

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

☒ Also transmitted herewith are:

- ☐ Petition for extension under 37 C.F.R. 1.136 (in duplicate)
- ☒ Other: **Declaration and Power of Attorney document**

☒ Check(s) in the amount of **\$1612.00** is/are attached to cover:

- ☒ Filing fee for additional claims under 37 C.F.R. 1.16
- ☐ Petition fee for extension under 37 C.F.R. 1.136
- ☒ Other: **Filing fee (\$692.00); and
Surcharge (\$130.00)**

☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.

- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.


Robert J. Paradiso, Reg. No. 41,240
DAVIDSON, DAVIDSON & KAPPEL, LLC
485 Seventh Avenue, 14th Floor
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I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service with sufficient postage as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on January 15, 2001.
DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 



540.1040US

UNITED STATES PATENT & TRADEMARK OFFICE

Re: Application of: Anand R. Baichwal, et al.
Serial No.: 09/676,376
Filed: September 29, 2000
For: SUSTAINED RELEASE MATRIX SYSTEMS FOR
HIGHLY SOLUBLE DRUGS

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX: MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

January 15, 2001

Sir:

In response to the Notification to File Missing Parts mailed November 15, 2000, please find an executed Declaration/Power of Attorney document signed by the inventors and a check in the amount of \$1612.00 in satisfaction of the \$690.00 filing fee, \$792.00 for the fee for additional claims and \$130.00 to cover the surcharge.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By: _____

Robert J. Paradiso (Reg. No. 41,240)

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(212) 736-1940



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,376	09/29/2000	Anand R. Baichwal	540.1040

23280
DAVIDSON DAVIDSON & KAPPEL, LLC
1140 AVENUE OF THE AMERICAS
15TH FLOOR
NEW YORK, NY 10036



FORMALITIES LETTER



OC000000005558308

Date Mailed: 11/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$792.
 - \$792 for 44 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1612.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09676376
710.00 OP
130.00 OP
772.00 OP
20.00 CH
00000046 500552
00000001 ADDITION
11/15/00

